

# Cartesian tree

## Theory and applications

Gleb Evstropov  
glebshp@yandex.ru

January 18, 2016

### 1 Some notations

- $u, v, w$  — some nodes of the binary search tree;
- $parent(v)$  — the parent of some node  $v$  in the binary search tree. If  $v$  is the root then  $parent(v) = NIL$ ;
- $left(v)$  — left child of some node  $v$  in the binary search tree. If the left subtree is empty, then  $left(v) = NIL$ ;
- $right(v)$  — right child of some node  $v$  in the binary search tree. If the right subtree is empty, then  $right(v) = NIL$ ;
- $key(v)$  — the value of a node  $v$  that affects the tree structure;
- $x(v)$  — another way to denote keys in Cartesian trees. Usually,  $x(v) = key(v)$ .
- $y(v)$  — some additional value associated with the node  $v$  and used to build the tree;
- $subtree(v)$  — the set of all nodes that lie inside the subtree of some node  $v$  ( $v$  is also included);
- $size(v)$  — the size of the subtree of some node  $v$ ;
- $x_l(v)$  — the minimum key in the subtree of the node  $v$ , that is:

$$x_l(v) = \min_{u \in subtree(v)} key(u)$$

- Same as  $x_l(v)$  we define  $x_r(v)$  as the maximum key in the subtree of the node  $v$ :

$$x_r(v) = \max_{u \in subtree(v)} key(u)$$

- $depth(v)$  is the length of the path from  $root$  to  $v$ .  $depth(root) = 0$ .
- $height(v)$  is the difference between  $max(depth(u))$  and  $depth(v)$ , where  $u \in subtree(v)$ .

## 2 Key points and definitions

- Greedy algorithm of finding an increasing subsequence: take first element that is greater than current, "left ladder". The expected length of the result on a random permutation is  $O(\log n)$ .

- BST stands for *binary search tree*, that is a binary rooted tree with some keys associated with every node, and the following two conditions hold:

$$key(u) < key(v), \forall u, v : u \in subtree(left(v))$$

and

$$key(u) > key(v), \forall u, v : u \in subtree(right(v))$$

- For any pair of nodes of any binary search tree  $v$  and  $u$ :  
 $u \in subtree(v)$  if and only if  $x_l(v) \leq key(u) \leq x_r(v)$
- For any tree and some keys stored in nodes of that tree we say that *heap condition* holds if for any  $v$  that is not the root:

$$key(parent(v)) \geq key(v)$$

- Binary search tree of size  $n$  is balanced if it's height is  $O(\log n)$ .
- *Cartesian tree* or *treap* is a balanced binary search tree, where each node is assigned some random values  $y(v)$ , which satisfy to the heap condition. Hereafter we will treat  $y(v)$  as a random permutation.
- Cartesian tree is uniquely determined by a set of pairs  $(x_i, y_i)$ , such that all  $x_i$  are pairwise distinct and all  $y_i$  are pairwise distinct.
- Node  $v$  is an ancestor of a node  $u$  if and only if for every  $w \neq v$  such that  $\min(key(v), key(u)) \leq key(w) \leq \max(key(v), key(u))$  it's  $y$  is smaller than the  $y$  of  $v$ , i.e.  $y(v) > y(w)$ .
- Linear algorithm to build Cartesian tree having a sorted pairs using stack.
- The expected depth of an  $i$ -th node (in the order of left-right traversal) is

$$\sum_{j=0}^{j < n} \frac{1}{|j - i| + 1} \leq 2 \cdot \sum_{j=1}^{j \leq n} \frac{1}{j} = O(\log n)$$

- We can treat a Cartesian tree as an array, if we replace  $x(v)$  with it's relative position on the tree. The data structure is called *Implicit-key Cartesian tree*.
- Persistent Cartesian tree cannot use fixed random values  $y(v)$ , instead, two subtrees are merge with probability proportional to their sizes.